



EXTERNALLY AND NON-REGULATED SERVICE PROVIDERS' PRESENTATION

Venue: Teachers Resource Center

Date: Wednesday, 11 December 2013

Time: 9:00am to 11:00am

AGENDA

1. Introductory Remarks – Mr. Keith Bell, Director, Financial Services Commission **9:00-9:05am**
 - Who is covered?
 - Importance of ENRSP Regulations
2. Obligations under POCA – Mr. Ian McDonald, Inspector, Financial Intelligence Unit **9:10-9:25am**
 - Role of MLRO/MLCO
 - Obligations to report
 - When to report a SAR
 - Consequences of not reporting a SAR
3. Obligations under ENRSP Regulations – Mr. Sherman Williams, Regulator **9:30-9:45am**
 - Registration process
 - Customer due diligence
 - Enhanced due diligence
4. Obligations under ENRSP Regulations – Mrs. Helen Hatton, Chairman, FSC Board **9:50-10:30am**
 - Corporate Governance
 - Risk Assessments
 - Training
 - Record keeping
5. Open Floor – **10:35-11:00am**